

1				
_	Doreen N. McPaul, Attorney General			
2	Navajo Nation Department of Justice			
3	Kimberly A. Dutcher, Deputy Attorney General (021466)			
	April A. Quinn, Esq. (pro hac vice pending) Navajo Nation Department of Justice			
4	P.O. Box 2010			
5	Window Rock, AZ 86515			
6	(928) 871-6345			
0	kdutcher@nndoj.org			
7	aquinn@nndoj.org			
8				
0	BEFORE THE ARIZONA CORPORATION COMMISSION			
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10	COMMISSIONERS			
	ROBERT BURNS, Chairman			
11	BOYD DUNN			
12	SANDRA D. KENNEDY JUSTIN OLSON			
	LEA MÁRQUEZ PETERSON			
13				
14	IN THE MATTER OF THE APPLICATION) DOCKET NO. E-01345A-19-0236 OF ARIZONA PUBLIC SERVICE)			
	COMPANY FOR A HEARING TO)			
15	DETERMINE THE FAIR VALUE OF THE) NOTICE OF FILING SURREBUTTAL			
16	UTILITY PROPERTY OF THE COMPANY) TESTIMONY OF JONATHAN NEZ, FOR RATEMAKING PURPOSES, TO FIX A) PRESIDENT OF THE NAVAJO			
	JUST AND REASONABLE RATE OF NATION			
17	RETURN THEREON, TO APPROVE RATE)			
18	SCHEDULES DESIGNED TO DEVELOP) SUCH RETURN)			
10)			
19	The Navajo Nation hereby provides notice of filing the surrebuttal testimony of President			
20	S 5.15			
21	Jonathan Nez of the Navajo Nation in the above-referenced matter.			
21	Dated this 4th day of December, 2020.			
22	Dated this 1th day of December, 2020.			
23	THE NAVAJO NATION			
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24	Kinbaly a Butoker			
25	Kimberly A. Dutcher			
23	Navajo Nation Deputy Attorney General			
26	Post Office Box 2010			
27	Window Rock, AZ 86515			
	Phone: (928) 871-6345			
28	Email: kdutcher@nndoj.org			
	acuinn@nndoi.org ACC - Docket Control - Received 12/4/2020 12:05 P			
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Attorneys for The Navajo Nation

CERTIFICATE OF SERVICE

ORIGINAL of the Navajo Nation's Notice of Filing Surrebuttal Testimony of Jonathan Nez, President of the Navajo Nation electronically filed and two (2) copies mailed this 4th day of December, 2020, with:

Arizona Corporation Commission Docket Control Center 1200 West Washington Street Phoenix, AZ 85007-2996

COPIES of the foregoing mailed/emailed this 4th day of December, 2020 to the persons identified on the attached service list (3 pages).

April A. Quinn, Esq.

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12	SUCH RETURN)		
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15	PREFILED SURREBUTTAL TESTIMONY OF JONATHAN NEZ, PRESIDENT OF THE NAVAJO NATION		
16	TRESIDENT OF THE NAVAGO NATION		
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18	December 4, 2020		
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I. INTRODUCTION

Q. PLEASE STATE YOUR NAME, TITLE AND CORRESPONDENCE ADDRESS FOR THE RECORD.

A: My name is Jonathan Nez. I am the President of the Navajo Nation. My address is Post Office Box 7440, Window Rock, AZ 86515.

O. HAVE YOU FILED TESTIMONY PREVIOUSLY IN THIS PROCEEDING?

A. Yes, I filed direct testimony on October 2, 2020, explaining the need for APS and the Arizona Corporation Commission ("ACC" or "Commission") to consider the effects on the Navajo Nation of APS's retiring coal-fired generation at the Four Corners Power Plant ("FCPP"), Navajo Generating Station ("NGS"), and the Cholla Power Plant ("Cholla"), including the effects on associated coal mines. Specifically, I recommended that APS provide direct financial transition aid to communities within the Navajo Nation; that the Commission address the Just Energy Transition ("JET"); that the Commission, APS, and the Navajo Nation work together to develop renewable energy projects on the Navajo Nation; that APS significantly increase electrification assistance and invest in local water access in and around the Nation; and that the Commission require APS to provide adequate notice to communities of impending coal plant closures.

II. AGREEMENT WITH APS REGARDING JUST TRANSITION

Q. HAS THE NATION COMMUNICATED WITH APS REGARDING THE JUST ENERGY TRANSITION ISSUE SINCE THE FILING OF YOUR TESTIMONY?

A. Yes, we have had ongoing discussions with APS which have been remarkably productive. The Nation and APS have agreed upon a set of programs and mechanisms to resolve the JET issue and to address community-transition assistance for coal-reliant communities in the vicinity of FCPP, Cholla, and NGS ("Coal-Community Transitions" or "CCT"). The agreement between APS and the Nation ("Agreement") includes many specific details and elements that require Commission approval.

Q. PLEASE DESCRIBE APS'S COMMITMENT TO THE NATION REGARDING JUST TRANSITION.

- A. APS and the Nation have agreed:
 - APS shall provide a \$100 million CCT financial commitment to the Navajo Nation, which shall be paid out over 10 years beginning in 2021. APS shall recover this CCT financial commitment through a dedicated cost-recovery mechanism, subject to Commission approval. To the extent that cost recovery is not approved in full, or is otherwise reduced, impaired, or eliminated by the ACC, APS's CCT financial commitment shall be reduced or eliminated accordingly. However, if the ACC does not approve cost-recovery or authorizes recovery of a reduced amount, APS shall continue to work with the Navajo Nation to secure full funding through a cost-recovery mechanism in future proceedings, or through another cost recovery method to be approved by the ACC in a future proceeding or through securitization.
 - APS shall submit a proposal to the ACC to modify APS's distribution line extension policy within the Navajo Nation, such that APS distribution lines can be extended up to 2,000 feet within the Navajo Nation at no cost to APS's Navajo customers. If it is determined that a line extension policy longer than 2,000 feet would have a greater impact on the ability to electrify homes, APS and the Nation will work together to identify a more appropriate, longer line extension threshold and will seek Commission approval of that updated line extension policy.
 - APS also will:
 - Conduct or pay for a census of unelectrified homes and businesses in the APS service territory within the Navajo Nation, to be completed within one year after execution of a final and definitive CCT agreement;

- Prepare an assessment, to be submitted to the ACC and the Nation, regarding the effectiveness of the 2,000 feet proposed extension to APS's distribution line extension policy (described above); and
- Commit an additional \$10 million (split 50/50 between APS shareholder contributions and a dedicated ACC-approved recovery mechanism) towards additional home and business electrification projects within the Navajo Nation.
- To the extent authorized by the Commission, APS shall both: (1) seek and solicit one or more location-targeted proposals to procure at least 250 MW of renewable electricity generation capacity to be constructed within the Navajo Nation and to deliver electricity to APS customers over the next 24 months; and (2) seek and solicit one or more additional location-targeted proposals, over a period of 12 months following the final retirement of FCPP, seeking the addition of at least 350 MW of renewable electricity generation capacity sited within the Navajo Nation or neighboring communities and to deliver electricity to APS customers. APS shall maintain discretion as to which proposals, if any, it selects for procurement, based upon the selection criteria APS develops for proposal solicitation along with any necessary ACC approvals. APS's selection criteria shall give preference to proposals associated with renewable energy projects located in the same geographic vicinity as FCPP or NGS, but such location shall not be a requirement for selection of a given proposal.
- For the purposes of transmission line development to support the delivery of renewable energy from new APS renewable generation procurement in and around the Nation, APS shareholders shall contribute \$2.5 million per year to the Nation during the time period from when FCPP permanently retires (or 2032, whichever is earlier) and ending at the end of 2038.

- No later than two years prior to the permanent retirement of FCPP, APS shareholders shall contribute \$1.25 million to a Navajo economic development organization designated by the Nation. APS's \$1.25 million commitment shall be paid to such designated Navajo economic development organization in five equal annual payments of \$250,000.
- APS shall support the Nation's pursuit of water rights from the San Juan River that are currently associated with FCPP and San Juan Generating Station.
- In addition, APS commits that it will support the Navajo Nation and other coalitions that may
 develop who seek to secure federal, state, or other funding for CCT assistance, as requested.

 APS also commits that it shall support and encourage the other FCPP Participants to
 participate in making similar CCT commitments of support.
- APS shall prepare job re-deployment offers within the APS organization to all APS Navajo employees at Cholla and FCPP at least six months prior to the closure of each plant respectively.

Q. HOW DO THE ELEMENTS OF THE AGREEMENT WITH APS COMPARE TO THE RECOMMENDATIONS IN YOUR DIRECT TESTIMONY?

A. The Agreement either directly or indirectly addresses the issues and recommendations that I included in my Direct Testimony. Consequently, I strongly support the Agreement and ask the Commission to approve the elements of the Agreement that require Commission approval.

In my Direct Testimony, I recommended that: (1) APS should commit to providing direct financial transition aid to communities within the Navajo Nation; (2) if not in this rate case, the Commission should commence a separate docket proceeding on the Just Transition; (3) the Commission, APS, and the Navajo Nation should work together to develop renewable energy projects

on the Navajo Nation; and (4) APS should significantly increase electrification assistance and invest in local water access.

The Agreement fits these recommendations because it: (1) includes APS's commitment to provide direct financial transition aid to the communities in the Nation; (2) addresses APS's role in the Just Transition, subject to Commission regulation, (3) creates commitments and a roadmap for the addition of new renewable generation by APS on the Navajo Nation; and (4) includes APS's commitment to help the Nation pursue water rights from the San Juan River.

Q. IN YOUR DIRECT TESTIMONY, YOU NOTED THE NEED FOR THE NATION TO RECEIVE AS MUCH NOTICE AS POSSIBLE BEFORE THE RETIREMENT OF POWER PLANTS ON OR ASSOCIATED WITH THE NATION. DO APS'S COMMITMENTS HELP ACHIEVE THIS GOAL?

A. Yes. The commitments include payments from APS to a Navajo economic development organization beginning two years prior to the permanent retirement of FCPP. In addition to its primary function as a tool for helping mitigate the economic harm to the Nation that will come from the closure of FCPP, the timing of the payments means that the Nation will have at least two years notice before the permanent retirement of FCPP. This advanced notice is significant to the Nation as it has to prepare for a time without the coal plant.

Q. ASSUMING THAT THE COMMISSION APPROVES APS'S COMMITMENTS TO THE NATION AND APS FULFILLS THOSE COMMITMENTS, DOES THE NATION BELIEVE THAT APS'S OBLIGATIONS RELATED TO THE JUST ENERGY TRANSITION WILL BE FULLY ADDRESSED?

A. Yes. The Nation will not return to the Commission for additional commitments from APS regarding the JET assuming that the current agreement is approved by the Commission and entirely fulfilled by APS. The Agreement provides the Commission, APS, and the Nation significant certainty and transparency regarding the long-term resolution of the JET that likely would not have been possible absent the Agreement.

O. WHAT PROCESS DO YOU ENVISION FOR CCT and JET GOING FORWARD?

A. In my Direct Testimony, I outlined the need for the creation of a Just Transition Council that would bring the various Just Transition stakeholders together to oversee coal plant retirement planning, as well as to provide the Commission and the utilities an opportunity to remain engaged in these issues as the retirement dates for the plants nears. I still believe that such a Council could be helpful, providing a forum for all impacted communities to provide input to the Nation as well as the Commission and the utilities on renewable energy development, electrification needs, transmission development, and economic development efforts. The Nation would lead this Council, but its membership could include local community leaders, legislators, NGOs, as well as Commission and utility representatives. Additionally, the Commission could establish an ongoing docket designed to allow the Commission and stakeholders to monitor the status of CCT and JET progress on the Nation and in other areas of Arizona where coal plant closures are occurring.

Q. HAVE YOU REVIEWED APS'S REPLY TESTIMONY RELATED TO THE JUST ENERGY TRANSITION?

A: Yes. APS witnesses Barbara Lockwood and Jeff Guldner each testify about the Just Energy

Transition. Their testimonies generally mirror the Agreement terms that I described above.

Q. DO YOU BELIEVE THAT APS'S REPLY TESTIMONY RELATED TO THE JUST ENERGY TRANSITION IS CONSISTENT WITH THE AGREEMENT BETWEEN APS AND THE NATION?

A. Yes.

Q. FROM YOUR PERSPECTIVE AS PRESIDENT OF THE NAVAJO NATION, PLEASE DESCRIBE WHY THE NATION SUPPORTS THE AGREEMENT BETWEEN THE NATION AND APS.

A. The Agreement between the Nation and APS offers a landmark solution to the Just Energy
Transition issue and will materially help the people of the Nation. The Agreement helps achieve rural
electrification on the Nation, improves Navajo access to much needed water, offers resources for

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economic development for the portions of the Nation most adversely affected by the retirement of the coal plants, establishes an employment transition plan for Navajos employed by APS at the plants, and helps the Nation itself as we adjust to a world without the coal plants which have been so critical to our economy for decades. Additionally, the Agreement includes a forward-looking partnership between APS and the Nation for the development of significant renewable resources in and around the Nation. These renewable facilities in some respects can provide the Nation an economic successor to the retiring coal plants.

Q. DO YOU RECOMMEND THAT THE COMMISSION APPROVE THE AGREEMENT?

A. Yes. APS has agreed to contribute significant shareholder funds and to greatly help the Nation in its pursuit of much needed water resources in the Agreement, but several elements of the Agreement require Commission approval. The combination of commitments from APS will benefit the Nation and our people for decades to come. It will help us grow closer to the goal of universal electric service within the Nation, which will fundamentally improve health, safety, and economic opportunity within the Nation--clearly making it in the public interest. It is for these reasons that I ask the Commission to approve the Agreement as part of its final decision in this proceeding.

III. APS'S PROPOSED CLEAN ENERGY SURCHARGE/ADVANCED ENERGY MECHANISM

Q. WHAT IS YOUR POSITION REGARDING APS'S PROPOSED CLEAN ENERGY SURCHARGE?

A. I support APS's efforts to increase the use of renewable generation sources and to address the issues related with the transition from coal. I also recognize that the transition to more renewables cannot be free. APS should be entitled to recover reasonable costs associated with the transition to cleaner, renewable technologies. The Advanced Energy Mechanism appears to be a reasonable way to do this. It also is a mechanism that should, at least in the near term, be utilized to recover the \$100

million CCT funding (\$10 million per year beginning in 2021) agreed to between APS and the Nation and hopefully approved by this Commission. Alternatively, the Nation believes those funds could be collected through APS's base rates.

IV. SECURITIZATION

Q. ARE YOU AWARE OF APS'S TESTIMONY SUPPORTIVE SECURITIZATION FOR ITS COAL FLEET?

A. Yes.

Q. WHAT IS THE NATION'S POSITION REGARDING SECURITIZATION?

A. The Nation supports securitization and in my Direct Testimony, I urged the Commission and APS to consider securitization as a cost-effective tool for addressing a Just Transition for the Nation and communities surrounding the APS coal plants. APS witness Bradley J. Albert testifies that securitization in place when the FCPP is scheduled to retire in 2031 would produce savings of \$232 million for ratepayers. Based on the calculations of Mr. Albert, the potential savings would be significant and would benefit the Nation and other APS customers; so, it seems like something that APS and the Commission should pursue and which could be in the public interest.

V. EMISSION CONTROLS AT FOUR CORNERS POWER PLANT

Q. ARE YOU AWARE OF APS'S PROPOSAL TO ADD EMISSION CONTROLS AT THE FOUR CORNERS POWER PLANT?

A Yes

Q. WHAT IS THE NATION'S POSITION REGARDING THE PROPOSED ADDITION OF THE EMISSION CONTROLS?

A. The Nation supports the addition of the emission controls, and believes that the Commission should approve cost recovery of the controls in this rate case, as outlined in APS' Application. I am not an engineer and cannot speak to the specific effects on emissions that the controls are achieving but reducing emissions from FCPP has likely already prolonged the operating life of FCPP and reduces its emissions while it operates. As I described in detail in my Direct Testimony, the closure

of power plants associated with the Nation hurts our economy and removes well-paying jobs from our community. The addition of emission controls should continue to extend the life of FCPP and, by extension, its economic contributions to the Nation and the Navajos who work at the plant. Further, the addition of the emission controls is reducing the pollutants emitted near FCPP, which benefits the Nation. Consequently, the proposed addition of emission controls seems to be beneficial to the Nation and nearby communities, making cost recovery of these controls in the public interest.

Q. DOES THIS CONCLUDE YOUR TESTIMONY?

Yes, it does.

V. CONCLUSION

Q: DO YOU HAVE ANY CLOSING COMMENTS?

A: The Nation is so pleased by the Agreement that it has reached with APS because it offers a path for the transition of Navajo workers from coal-fired power plants and mines to new roles and sets the stage for APS, the Commission, and the Nation to become leaders in renewable generation. Further, the Agreement addresses the economic harm that closing mines and power plants inflicts on the Nation.

Q: DOES THIS CONCLUDE YOUR TESTIMONY?

A. Yes

- 1		
1	Adam Stafford	
	Western Resource Advocates	Garry Hays Law
2	P.O. Box 30497	Office of Garry Hays PC
.	Phoenix AZ 85046	2198 E Camelback Rd Suite 230
3	stacy@westernresources.org	Phoenix AZ 85016
4	steve.michel@westernresources.org	Ghays@lawgdh.com
4	autumn.johnson@westernresources.org	Consented to Service by Email
5	adam.stafford@westernresources.org	consented to service by Eman
6	Consented to Service by Email	Giancarlo Estrada
	Consented to Service by Email	KAMPER ESTRADA, LLP
2011	Albert H Acken	3030 N. 3rd Street, Suite 770
7	DICKINSON WRIGHT PLLC	Phoenix AZ 85012
	The The County of the William Service of the County of the	
8	1850 N Central Ave., Suite 1400	gestrada@lawphx.com
9	Phoenix AZ 85004	Consented to Service by Email
9	aacken@dickinson-wright.com	0 2
10	Consented to Service by Email	Greg Patterson
		Munger Chadwick/Competitive Power
11	Armando Nava	Alliance
	The Nava Law Firm PLLC	5511 S. Jolly Roger
12	1641 E Osborn Rd Ste 8	Tempe AZ 85283
10	Phoenix AZ 85016	Greg@azcpa.org
13	Filings@navalawaz.com	Consented to Service by Email
14	Consented to Service by Email	
1.1		Gregory M. Adams
15	Court Rich	515 N. 27th St.
100,000	Rose Law Group pc	Boise ID 83702
16	7144 E Stetson Drive Suite 300	greg@richardsonadams.com
	Scottsdale AZ 85251	greg.bass@calpinesolutions.com
17	CRich@RoseLawGroup.com	Consented to Service by Email
18	Consented to Service by Email	
10		Holly L. Buchanan
19	Daniel Pozefsky	139 Barnes Dr., Suite 1
	RUCO	Tyndall AFB FL 32403
20	1110 West Washington, Suite 220	Holly buchanan 1@us.af.mil
	Phoenix AZ 85007	Consented to Service by Email
21	jfuentes@azruco.gov	Pacadottiska ventocotto-kaldata setto-adatek-ottosii stajan adatek-ottosiissa.
	procedural@azruco.gov	Jason Y. Moyes
22	rdelafuente@azruco.gov	Moyes Sellers & Hendricks
23	dpozefsky@azruco.gov	1850 N. Central Ave., Ste. 1100
23	Consented to Service by Email	Phoenix AZ 85004
24		jim@harcuvar.com
	David Bender	jasonmoyes@law-msh.com
25	EARTHJUSTICE	jjw@krsaline.com
26	1001 G Street, NW, Suite 1000	Consented to Service by Email
	Washington DC 20001	Consented to Service by Edital
27	dbender@earthjustice.org	
21	Consented to Service by Email	
28	Consented to Service by Editali	

1		Consented to Service by Email
2	Jason R. Mullis	
-	WOOD SMITH BENNING & BERMAN LLP	Marta Darby
3	2525 E. Camelback Road, Ste. 450	Earthjustice
	Phoenix AZ 85016	633 17th Street Suite
4	greg@richardsonadams.com	1600 Denver CO 80202
5	jmullis@wshblaw.com	mdarby@earthjustice.org
3	greg bass@calpinesolutions.com	Consented to Service by Email
6	Consented to Service by Email	Melissa M. Krueger
(270)	John B. Coffman	Pinnacle West Capital Corporation
7	JOHN B. COFFMAN LLC	400 North 5th Street, MS 8695
8	871 Tuxedo Blvd.	Phoenix AZ 85004
8	St. Louis MO 63119	Andrew.Schroeder@aps.com
9	john@johncoffman.net	rodney.ross@aps.com
-	Consented to Service by Email	Thomas.Mumaw@pinnaclewest.com
10	Consented to Service by Linux	Theresa.Dwyer@pinnaclewest.com
1979	John S. Thornton	ratecase@aps.com
11	8008 N. Invergordon Rd.	Leland.Snook@aps.com
12	Paradise Valley AZ 85253	Melissa.Krueger@pinnaclewest.com
	john@thorntonfinancial.org	Consented to Service by Email
13	Consented to Service by Email	
1000		Nicholas J. Enoch
14	Jonathan Jones	LUBIN & ENOCH, PC
15	14324 N 160th Dr	349 N. Fourth Ave.
1.0	Surprise AZ 85379	Phoenix AZ 85003
16	jones.2792@gmail.com	bruce@lubinandenoch.com
1.7	Consented to Service by Email	clara@lubinandenoch.com
17	and the second of the second o	nick@lubinandenoch.com
18	Karen S White	Consented to Service by Email
1000	AFIMSC/JAQ	D
19	139 Barnes Ave	Patricia Madison
20	Tyndall AFB FL 32403	13345 W. Evans Drive
20	karen white 13@us af mil	Surprise AZ 85379
21	Consented to Service by Email	Patricia_57@q.com Consented to Service by Email
	Kurt J. Boehm	Consented to Service by Eman
22	Boehm, Kurtz & Lowry	Patrick J. Black
22	36 E. Seventh St. Suite 1510	FENNEMORE CRAIG, P.C.
23	Cincinnati OH 45202	2394 E. Camelback Rd. Suite 600
24	jkylercohn@BKLlawfirm.com	Phoenix AZ 85016
	kboehm@bkllawfirm.com	lferrigni@fclaw.com
25	Consented to Service by Email	pblack@fclaw.com
20	and the second s	Consented to Service by Email
26	MAJ Scott L Kirk	20 _2
27	AFLOA/JACE-ULFSC	Richard Gayer
	139 Barnes Dr., Suite 1	526 W. Wilshire Dr.
28	Tyndall AFB FL 32403-5317	Phoenix AZ 85003
	scott.kirk.2@us.af.mil	rgayer@cox.net
	12	

1	Consented to Service by Email	
2		
3	Robert A Miller 12817 W. Ballad Drive	Thomas A. Jernigan AFIMSC/JAU
4	Sun City West AZ 85378	139 Barnes Drive, Suite 1
5	rdjscw@gmail.com	Tyndall AFB FL 32403-5317
5.	Bob.miller@porascw.org Consented to Service by Email	thomas.jernigan.3@us.af.mil Consented to Service by Email
6	Consense to Service by Email	Consented to Service by Email
7	Robin Mitchell Arizona Corporation Commission	Timothy M. Hogan ARIZONA CENTER FOR LAW IN THE
8	Director & Chief Counsel - Legal Division	PUBLIC INTEREST
9	1200 West Washington St.	514 W. Roosevelt St.
10	Phoenix AZ 85007 legaldiv@azcc.gov	Phoenix AZ 85003 louisa.eberle@sierraclub.org
	utildivservicebyemail@azcc.gov	miriam.raffel-smith@sierraclub.org
11	Consented to Service by Email	rose.monahan@sierraclub.org cpotter@swenergy.org
12	Scott S. Wakefield	czwick@wildfireaz.org
13	HIENTON CURRY, P.L.L.C. 5045 N 12th Street, Suite 110	briana@votesolar.org
	Phoenix AZ 85014-3302	brendon@gabelassociates.com Sandy.bahr@sierraclub.org
14	Stephen.Chriss@walmart.com	ezuckerman@swenergy.org
15	swakefield@hclawgroup.com	janderson@aclpi.org
16	Consented to Service by Email	sbatten@aclpi.org thogan@aclpi.org
3,2-50	Shelly A. Kaner	Consented to Service by Email
17	8831 W. Athens St. Peoria AZ 85382	
18	Feoria AZ 63362	
19	Thomas Harris Distributed Energy Resource Association (DERA)	
20	5215 E. Orchid Ln	
21	Paradise Valley AZ 85253 Thomas.Harris@DERA-AZ.org	
22	Consented to Service by Email	
23		
24		
25		
26		
- 11		